

# Digital Design & Computer Arch.

## Lecture 23a: Multiprocessor Caches

Prof. Onur Mutlu

ETH Zürich

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# Readings

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- Caches

- Required

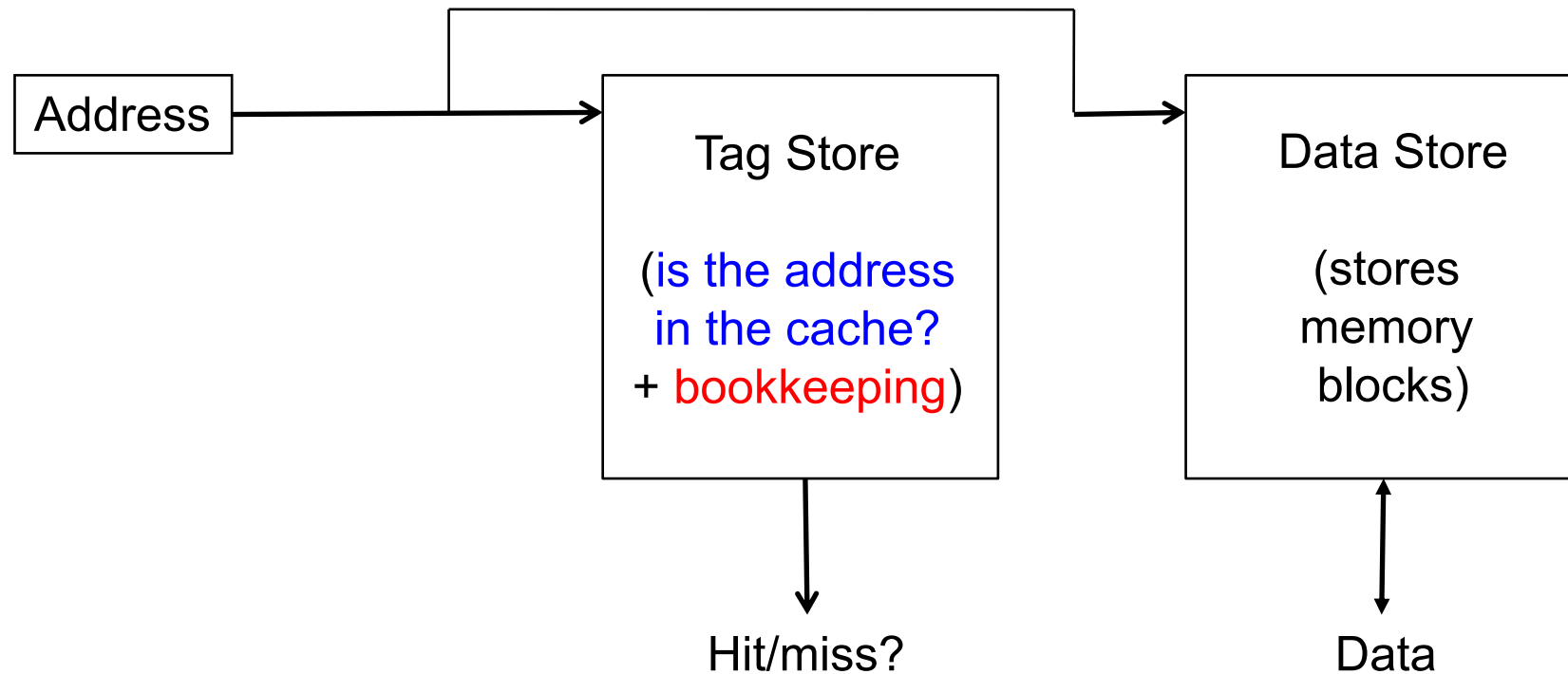
- H&H Chapters 8.1-8.3
- Refresh: P&P Chapter 3.5

- Recommended

- An early cache paper by Maurice Wilkes
  - Wilkes, "Slave Memories and Dynamic Storage Allocation," IEEE Trans. On Electronic Computers, 1965.

# Recall: Cache Structure

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# Cache Performance

# Recall: Cache Parameters vs. Miss/Hit Rate

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- Cache size
- Block size
- Associativity
- Replacement policy
- Insertion/Placement policy

# Recall: How to Improve Cache Performance

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- Three fundamental goals
- Reducing miss rate
  - Caveat: reducing miss rate can reduce performance if more costly-to-refetch blocks are evicted
- Reducing miss latency or miss cost
- Reducing hit latency or hit cost
- The above three **together** affect performance

# Recall: Improving Basic Cache Performance

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## ■ Reducing miss rate

- ❑ More associativity
- ❑ Alternatives/enhancements to associativity
  - Victim caches, hashing, pseudo-associativity, skewed associativity
- ❑ Better replacement/insertion policies
- ❑ Software approaches

## ■ Reducing miss latency/cost

- ❑ Multi-level caches
- ❑ Critical word first
- ❑ Subblocking/sectoring
- ❑ Better replacement/insertion policies
- ❑ Non-blocking caches (multiple cache misses in parallel)
- ❑ Multiple accesses per cycle
- ❑ Software approaches

# Recall: Software Approaches for Higher Hit Rate

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- Restructuring data access patterns
- Restructuring data layout
- Loop interchange
- Data structure separation/merging
- Blocking
- ...



# Recall: Restructuring Data Access Patterns (I)

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- Idea: Restructure data layout or data access patterns
- Example: If column-major
  - $x[i+1,j]$  follows  $x[i,j]$  in memory
  - $x[i,j+1]$  is far away from  $x[i,j]$

## Poor code

```
for i = 1, rows
  for j = 1, columns
    sum = sum + x[i,j]
```

## Better code

```
for j = 1, columns
  for i = 1, rows
    sum = sum + x[i,j]
```

- This is called **loop interchange**
- Other optimizations can also increase hit rate
  - Loop fusion, array merging, ...

# Recall: Restructuring Data Access Patterns (II)

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- **Blocking**

- Divide loops operating on arrays into computation chunks so that each chunk can hold its data in the cache
- Avoids cache conflicts between different chunks of computation
- Essentially: Divide the working set so that each piece fits in the cache

- Also called Tiling

# Restructuring Data Layout (I)

---

```
struct Node {  
    struct Node* next;  
    int key;  
    char [256] name;  
    char [256] school;  
}
```

```
while (node) {  
    if (node→key == input-key) {  
        // access other fields of node  
    }  
    node = node→next;  
}
```

- Pointer based traversal (e.g., of a linked list)
- Assume a huge linked list (1B nodes) and unique keys
- Why does the code on the left have poor cache hit rate?
  - “Other fields” occupy most of the cache line even though rarely accessed!

# Restructuring Data Layout (II)

---

```
struct Node {  
    struct Node* next;  
    int key;  
    struct Node-data* node-data;  
}
```

```
struct Node-data {  
    char [256] name;  
    char [256] school;  
}
```

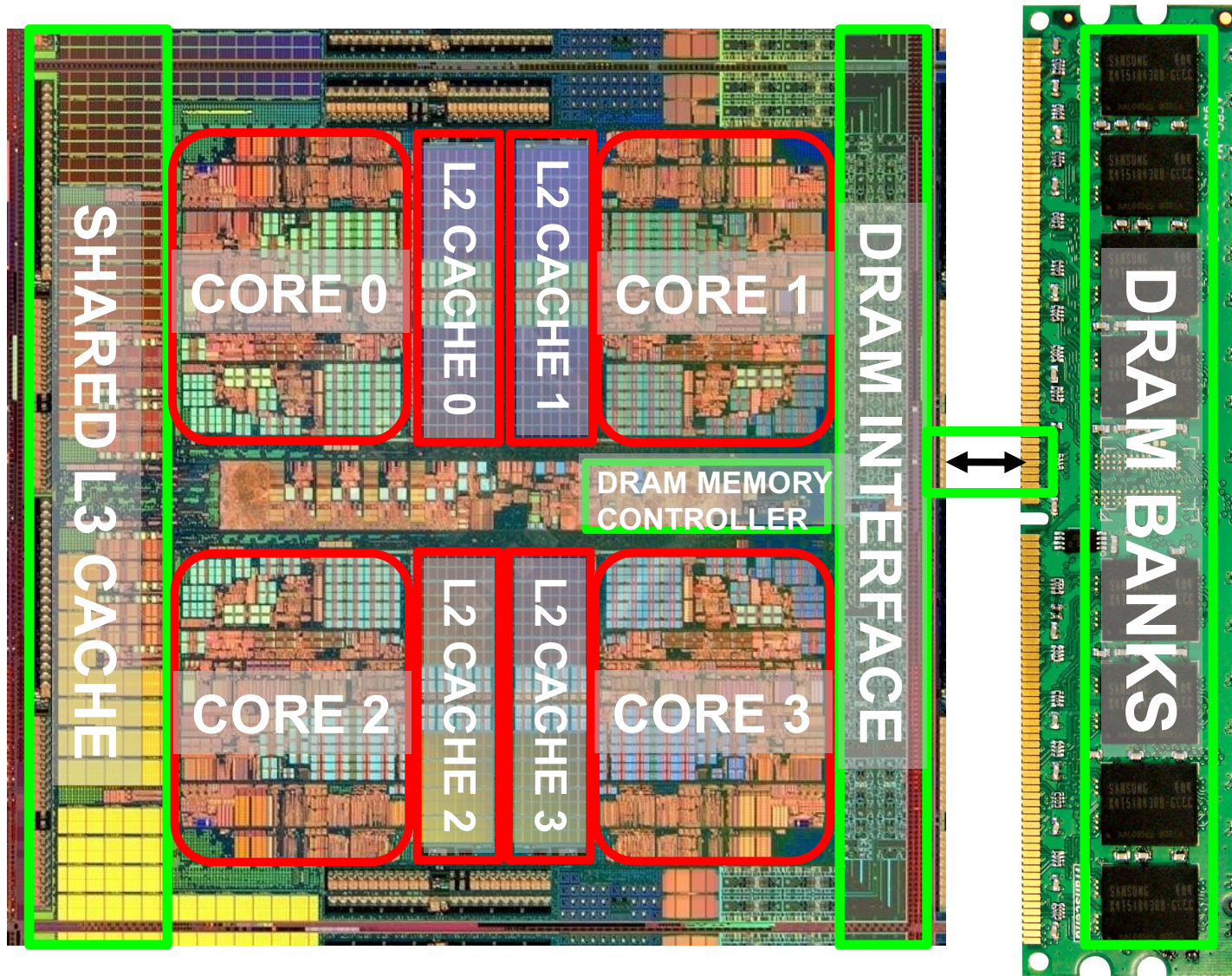
```
while (node) {  
    if (node→key == input-key) {  
        // access node→node-data  
    }  
    node = node→next;  
}
```

- Idea: separate frequently-used fields of a data structure and pack them into a separate data structure

- Who should do this?
  - ❑ Programmer
  - ❑ Compiler
    - Profiling vs. dynamic
  - ❑ Hardware?
  - ❑ Who can determine what is frequently used?

# Multi-Core Issues in Caching

# Caches in a Multi-Core System



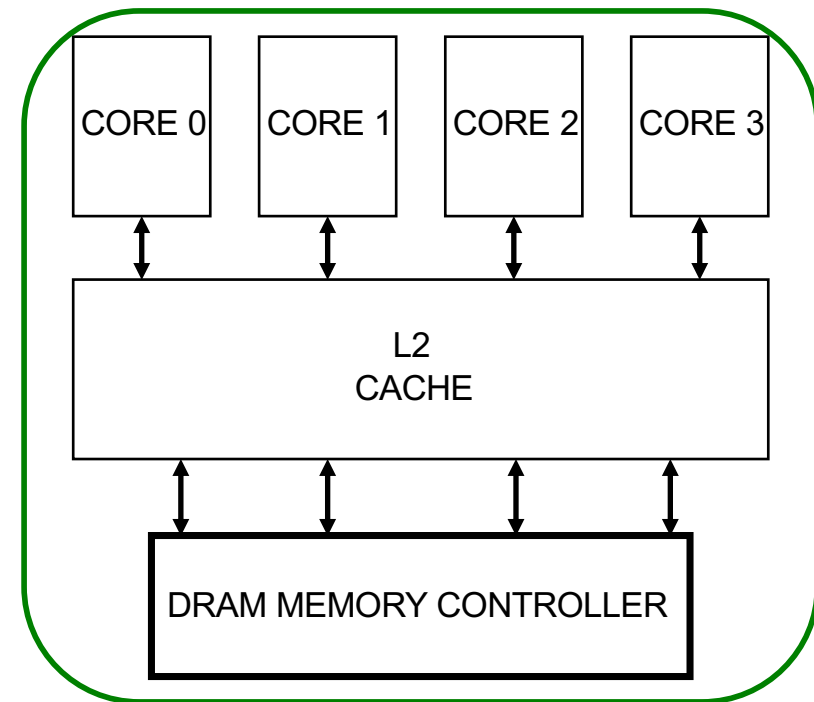
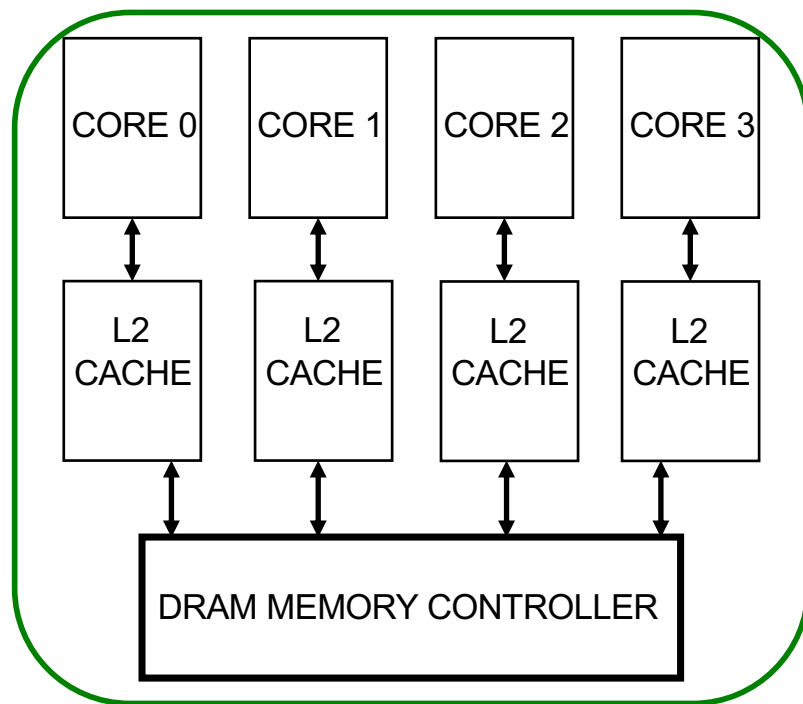
# Caches in Multi-Core Systems

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- Cache efficiency becomes even more important in a multi-core/multi-threaded system
  - Memory bandwidth is at premium
  - Cache space is a limited resource across cores/threads
- How do we design the caches in a multi-core system?
- Many decisions
  - Shared vs. private caches
  - How to maximize performance of the entire system?
  - How to provide QoS to different threads in a shared cache?
  - Should cache management algorithms be aware of threads?
  - How should space be allocated to threads in a shared cache?

# Private vs. Shared Caches

- **Private** cache: Cache belongs to one core (a shared block can be in multiple caches)
- **Shared** cache: Cache is shared by multiple cores





# Resource Sharing Concept and Advantages

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- Idea: Instead of dedicating a hardware resource to a hardware context, allow multiple contexts to use it
    - Example resources: functional units, pipeline, caches, buses, memory
  - Why?
- 
- + Resource sharing improves utilization/efficiency → throughput
    - When a resource is left idle by one thread, another thread can use it; no need to replicate shared data
  - + Reduces communication latency
    - For example, data shared between multiple threads can be kept in the same cache in multithreaded processors
  - + Compatible with the shared memory programming model

# Resource Sharing Disadvantages

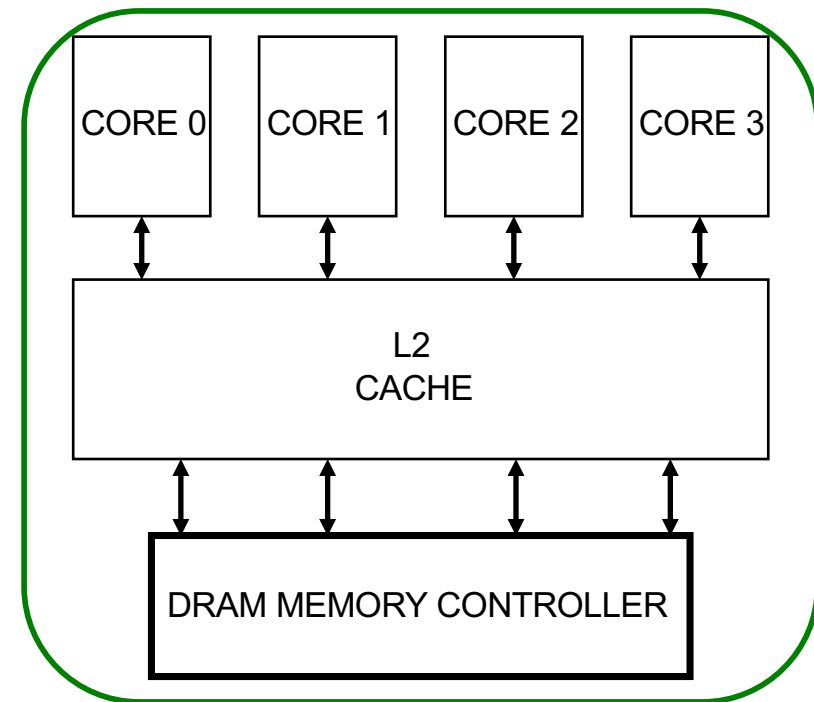
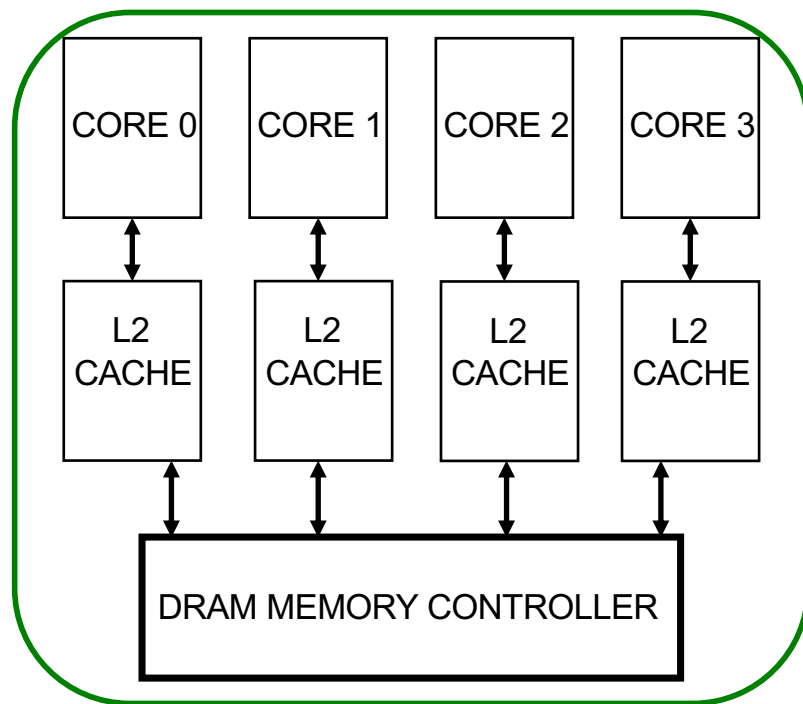
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- Resource sharing results in **contention for resources**
  - When the resource is not idle, another thread cannot use it
  - If space is occupied by one thread, another thread needs to re-occupy it
- **Sometimes reduces each or some thread's performance**
  - Thread performance can be worse than when it is run alone
- **Eliminates performance isolation** → inconsistent performance across runs
  - Thread performance depends on co-executing threads
- Uncontrolled (free-for-all) sharing **degrades QoS**
  - Causes unfairness, starvation

**Need to efficiently and fairly utilize shared resources**

# Private vs. Shared Caches

- **Private** cache: Cache belongs to one core (a shared block can be in multiple caches)
- **Shared** cache: Cache is shared by multiple cores



# Shared Caches Between Cores

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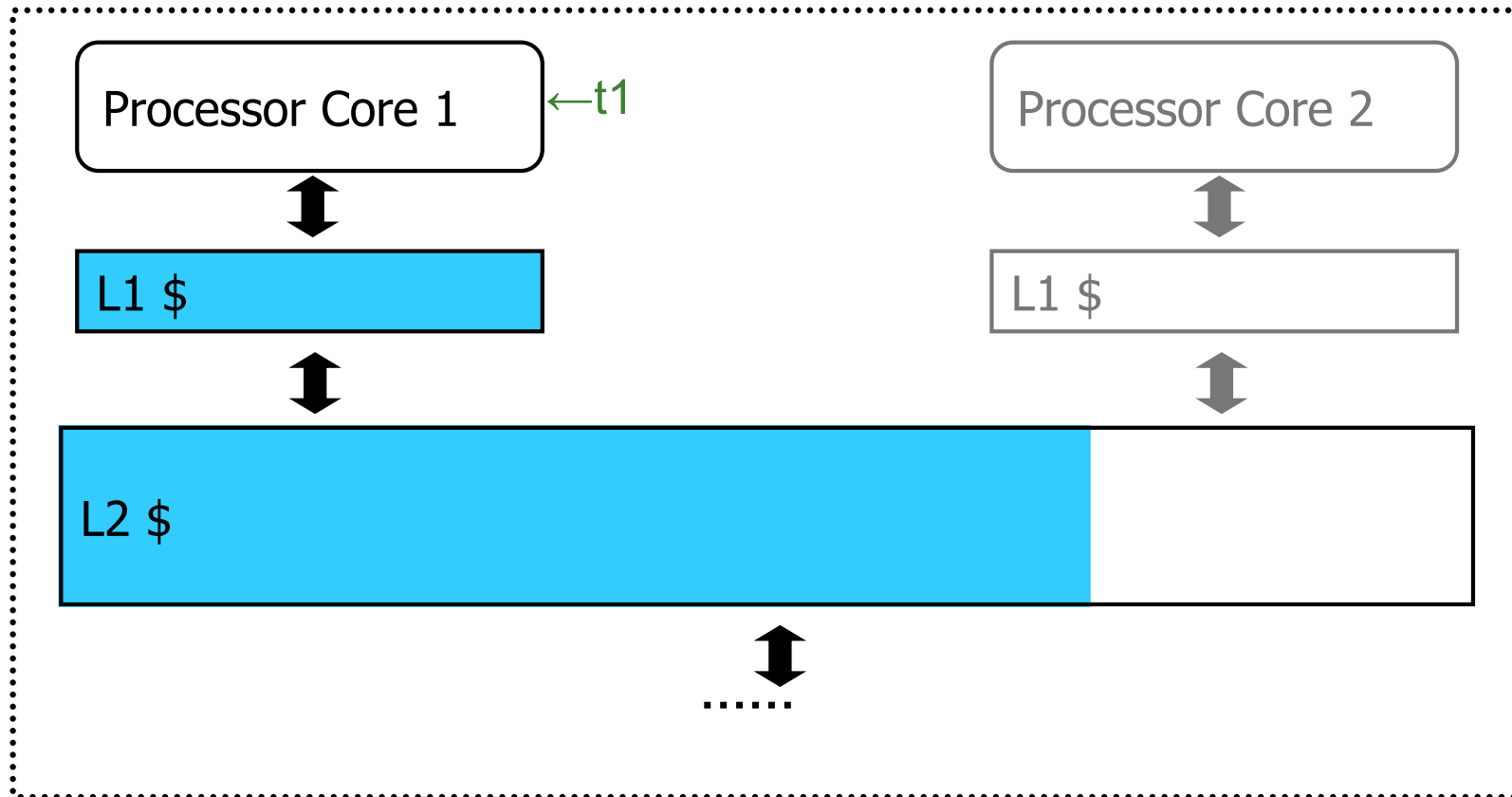
## ■ Advantages:

- High effective capacity
- **Dynamic partitioning** of available cache space
  - No fragmentation due to static partitioning
  - If one core does not utilize some space, another core can
- **Easier to maintain coherence (a cache block is in a single location)**

## ■ Disadvantages

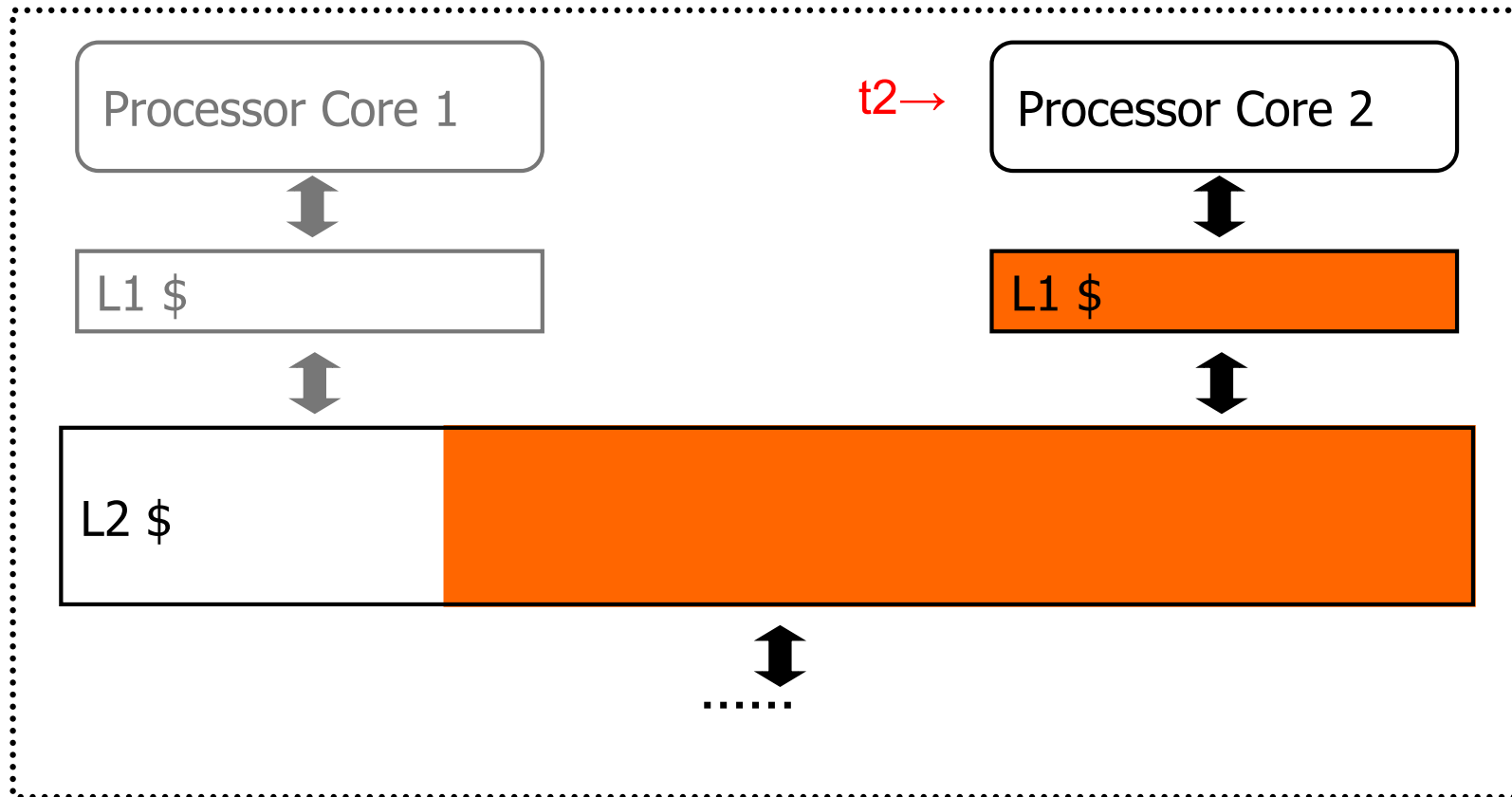
- Slower access (cache not tightly coupled with the core)
- Cores incur **conflict misses due to other cores' accesses**
  - Misses due to inter-core interference
  - Some cores can destroy the hit rates of other cores
- Guaranteeing a minimum level of service (or fairness) to each core is harder (how much space, how much bandwidth?)

# Example: Problem with Shared Caches



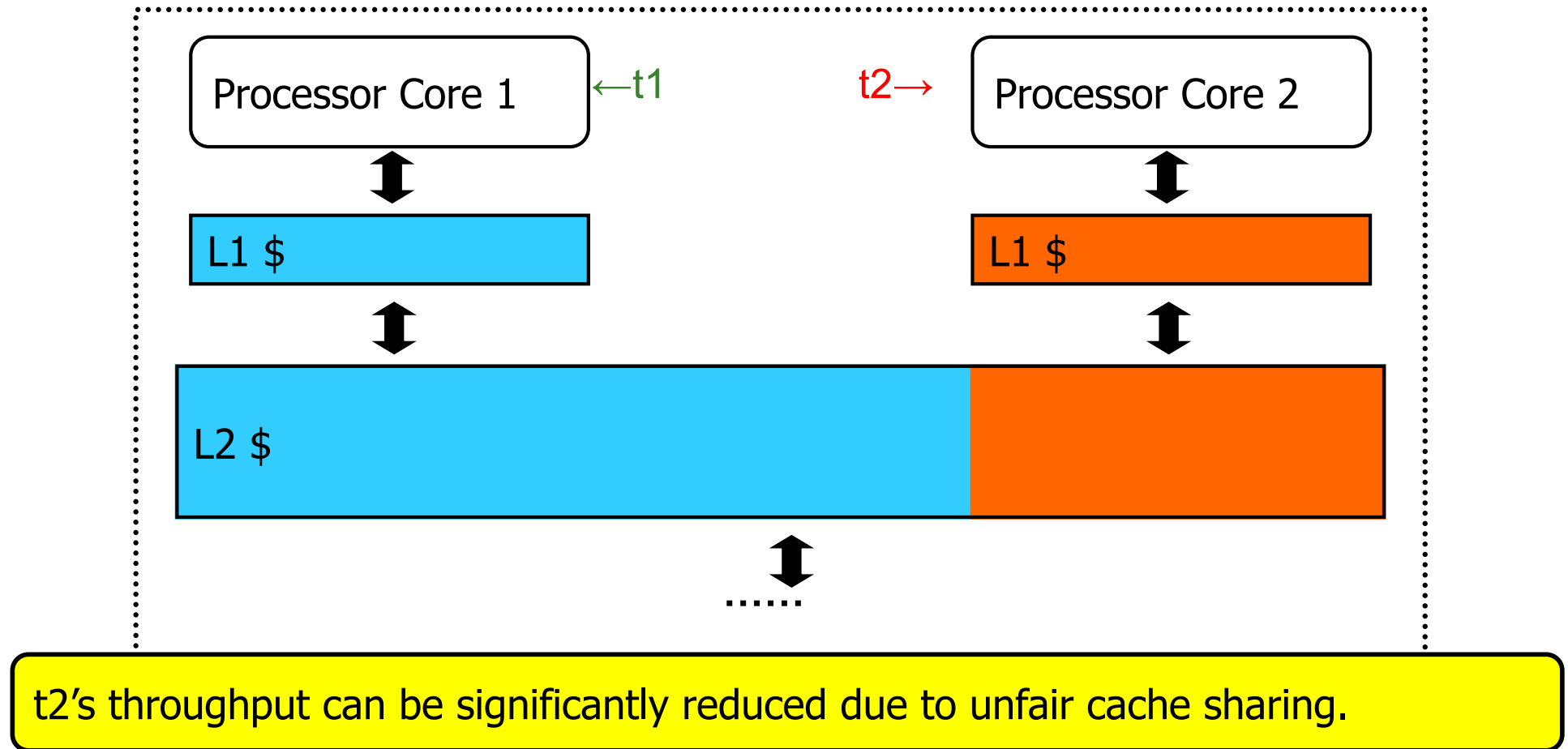
Kim et al., “Fair Cache Sharing and Partitioning in a Chip Multiprocessor Architecture,” PACT 2004.

# Example: Problem with Shared Caches



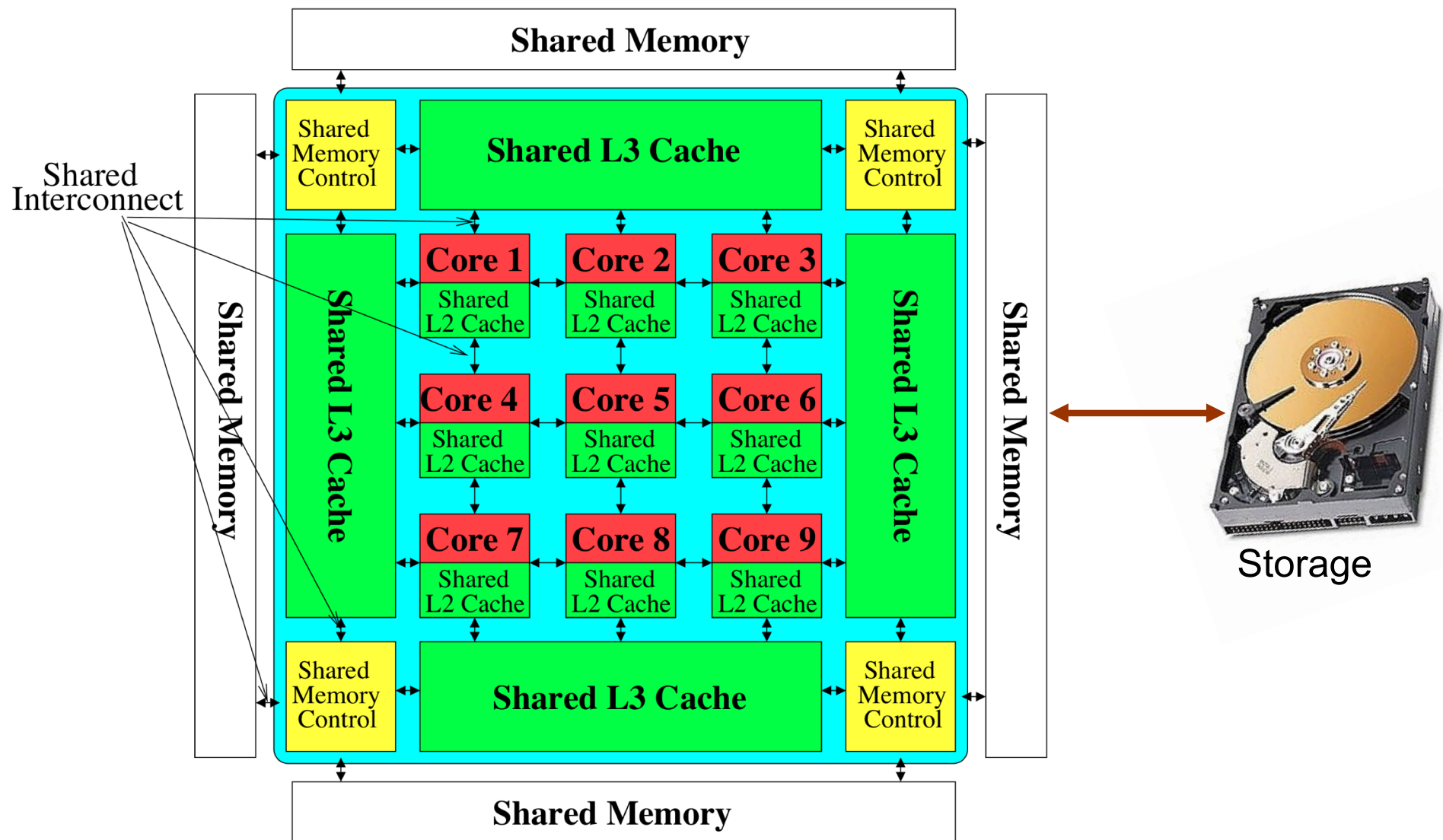
Kim et al., “Fair Cache Sharing and Partitioning in a Chip Multiprocessor Architecture,” PACT 2004.

# Example: Problem with Shared Caches



Kim et al., “Fair Cache Sharing and Partitioning in a Chip Multiprocessor Architecture,” PACT 2004.

# Memory System: A *Shared Resource* View



**Most of the system is a shared resource, storing and moving data**

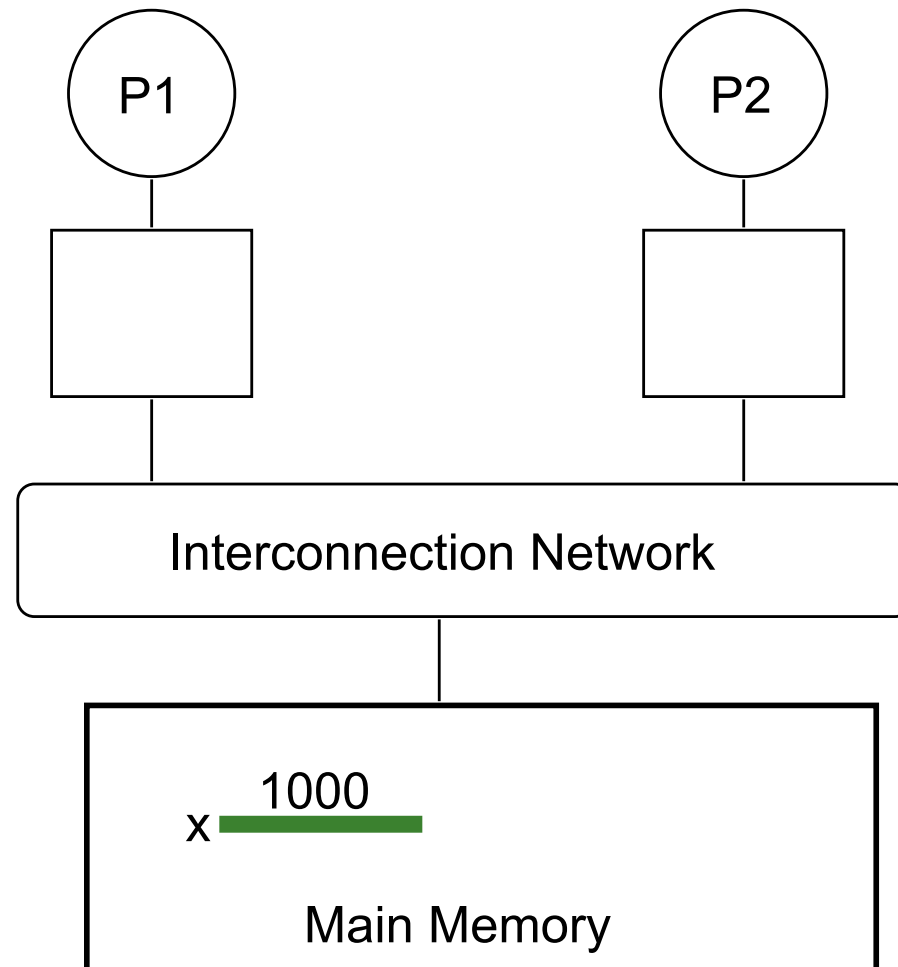


# Cache Coherence

# Cache Coherence

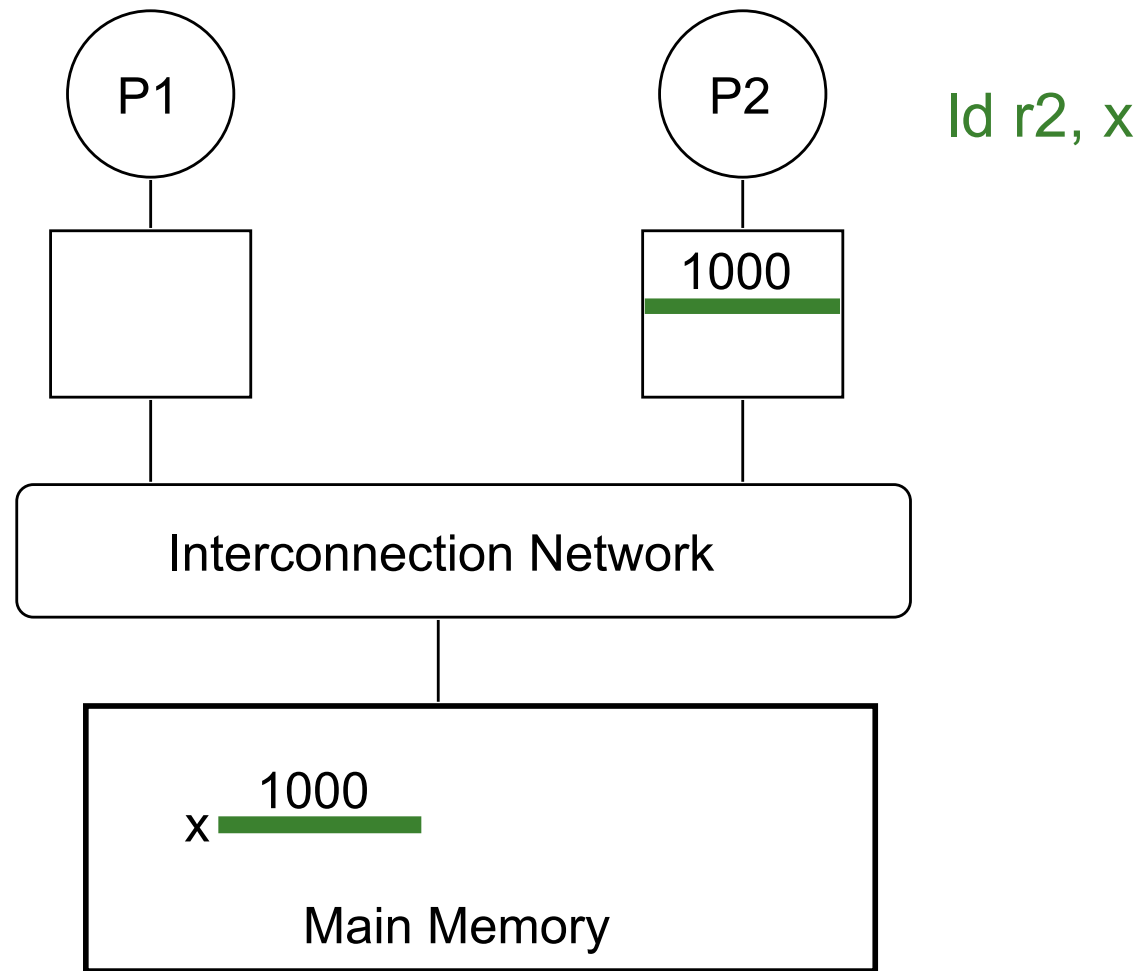
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- Basic question: If multiple processors cache the same block, how do they ensure they all see a consistent state?



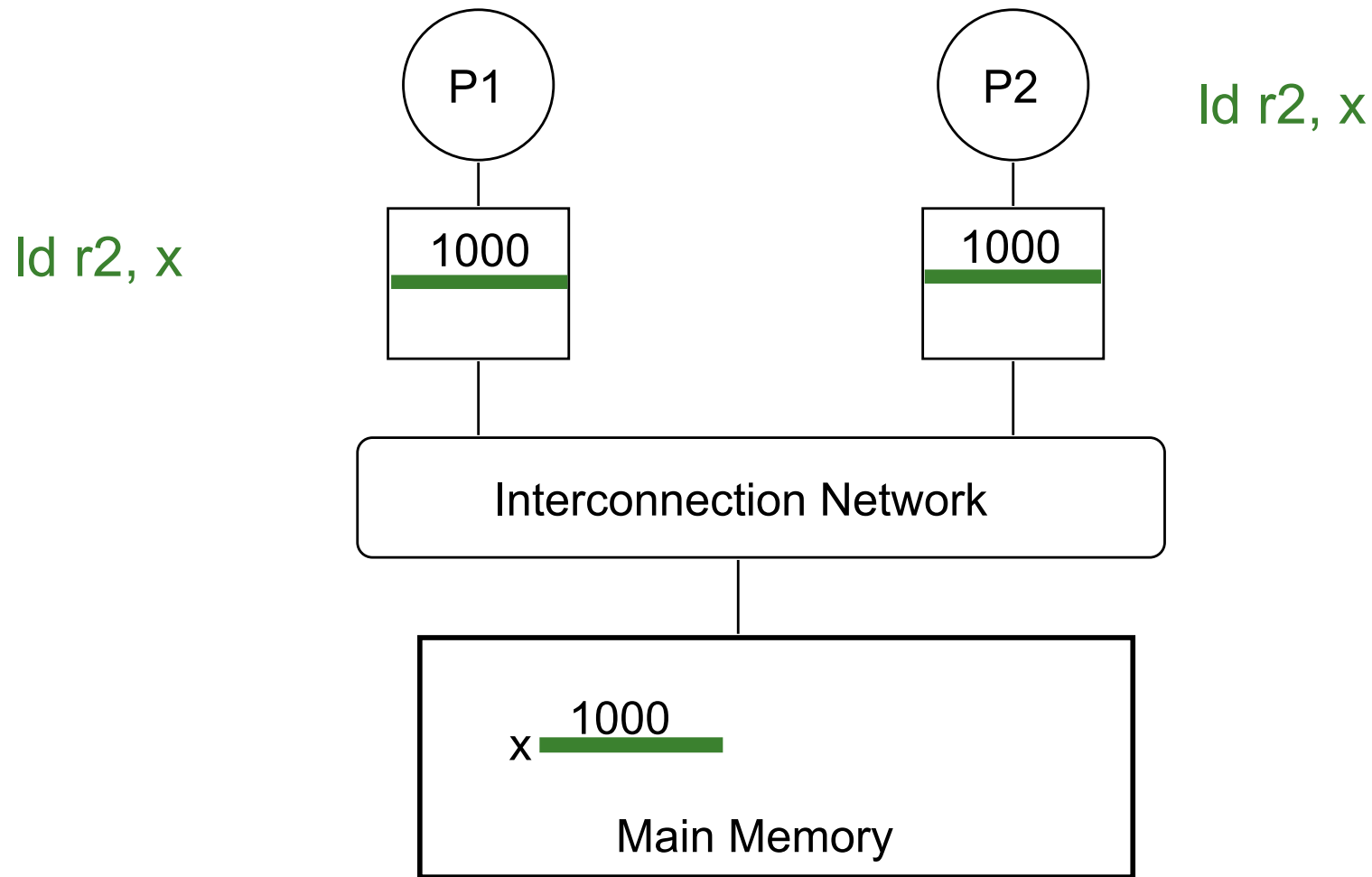
# The Cache Coherence Problem

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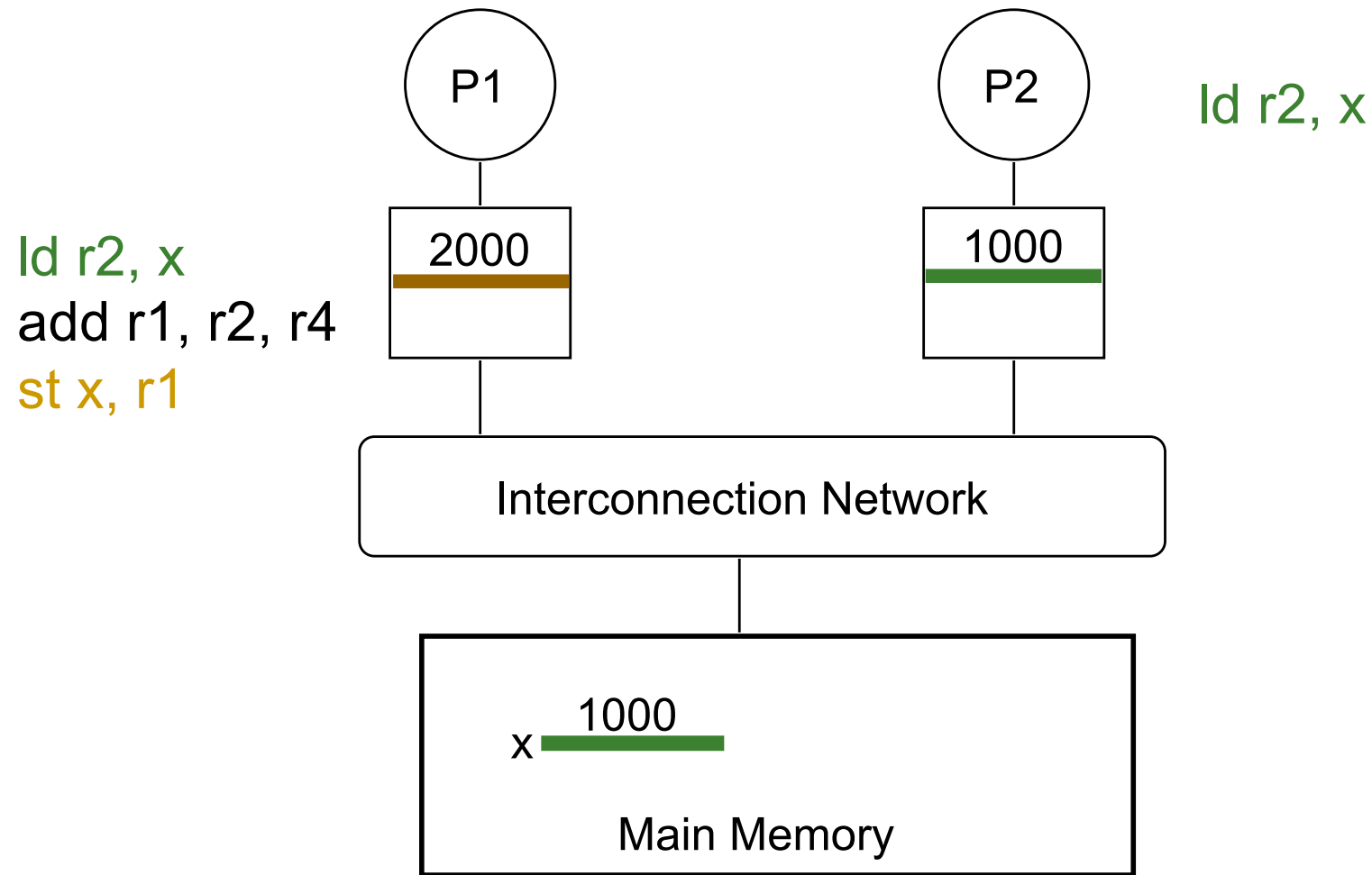
# The Cache Coherence Problem

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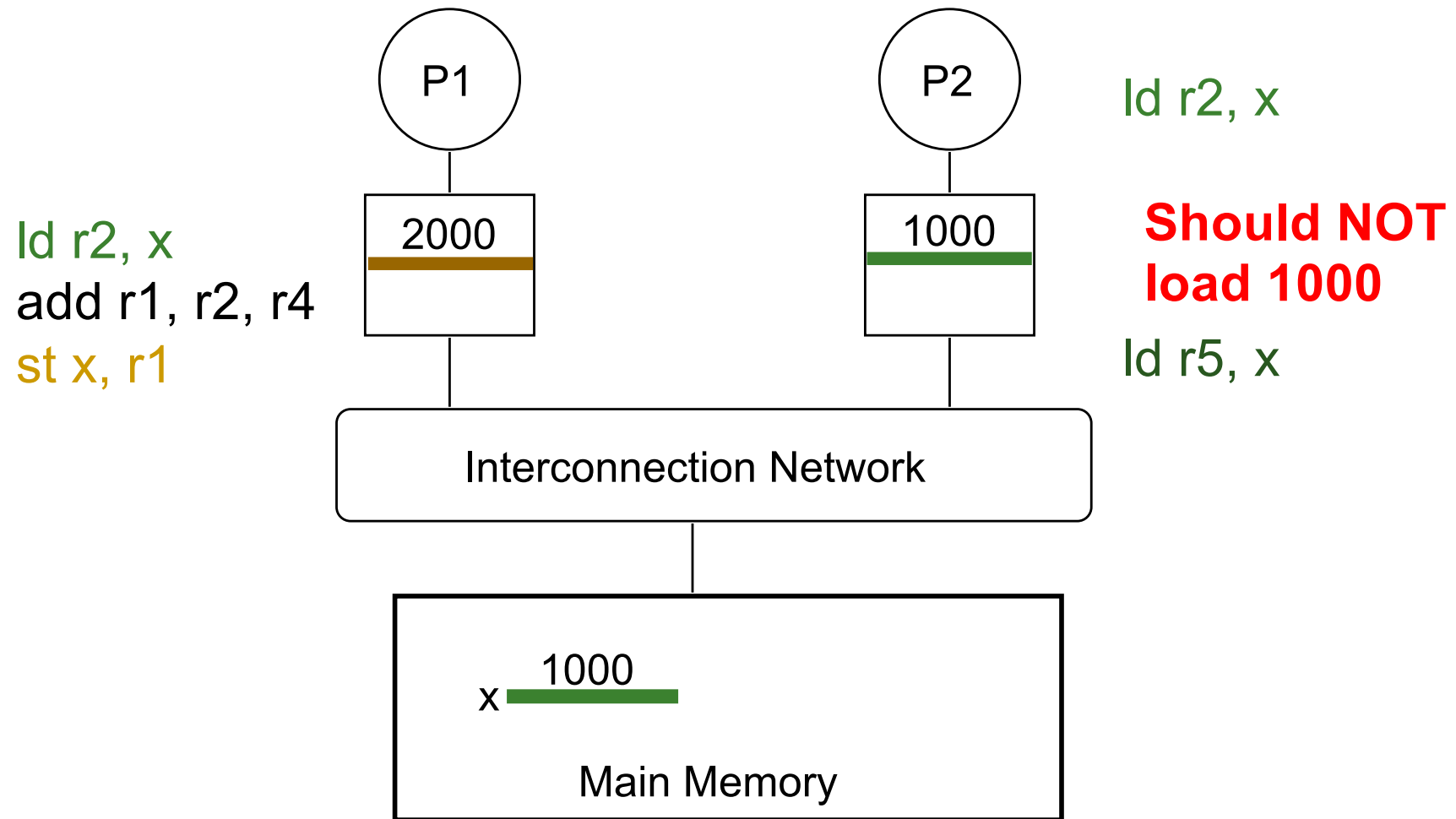
# The Cache Coherence Problem

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# The Cache Coherence Problem

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# Cache Coherence: Whose Responsibility?

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## ■ Software

- ❑ Can the programmer ensure coherence if caches are invisible to software?
- ❑ What if the ISA provided a cache flush instruction?
  - FLUSH-LOCAL A: Flushes/invalidates the cache block containing address A from a processor's local cache.
  - FLUSH-GLOBAL A: Flushes/invalidates the cache block containing address A from all other processors' caches.
  - FLUSH-CACHE X: Flushes/invalidates all blocks in cache X.

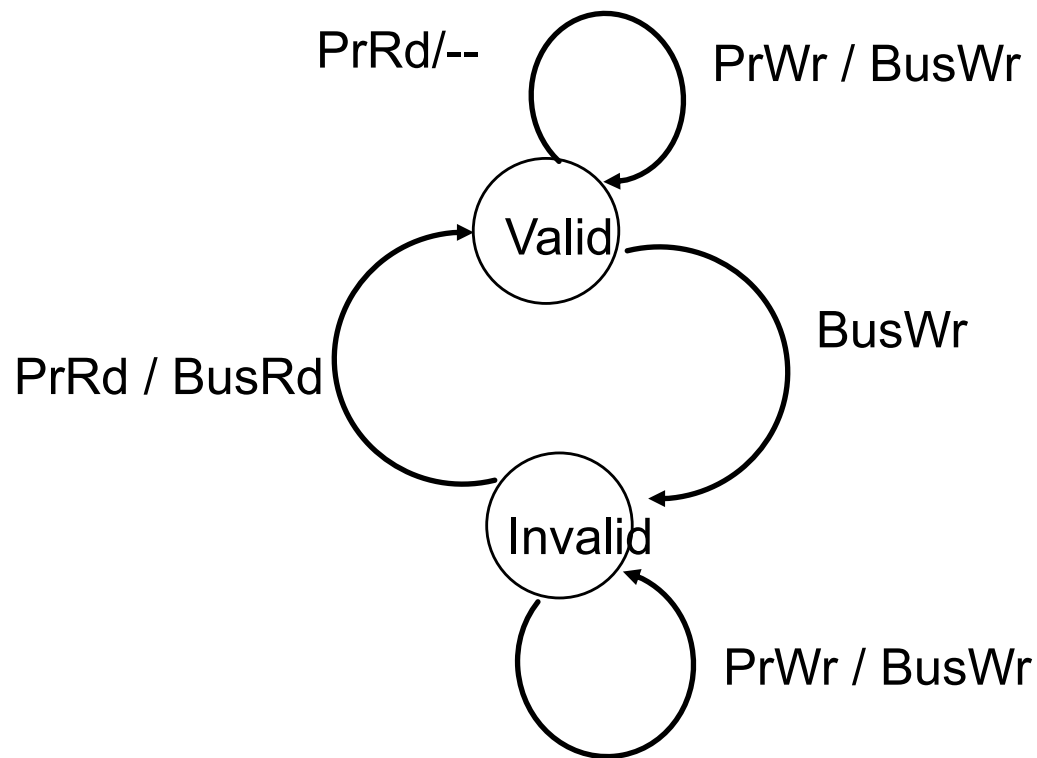
## ■ Hardware

- ❑ Simplifies software's job
- ❑ One idea: Invalidate all other copies of block A when a processor writes to it

# A Very Simple Coherence Scheme (VI)

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- Caches “snoop” (observe) each other’s write/read operations via a shared bus. If a processor writes to a block, all others invalidate the block.
- A simple protocol:



- Write-through, no-write-allocate cache
- Actions of the local processor on the cache block: PrRd, PrWr,
- Actions that are broadcast on the bus for the block: BusRd, BusWr



# (Non-)Solutions to Cache Coherence

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- No hardware based coherence

- Keeping caches coherent is software's responsibility
- + Makes microarchitect's life easier
- Makes average programmer's life much harder
  - need to worry about hardware caches to maintain program correctness?
- Overhead in ensuring coherence in software (e.g., page protection and page-based software coherence)

- All caches are shared between all processors

- + No need for coherence
- Shared cache becomes the bandwidth bottleneck
- Very hard to design a scalable system with low-latency cache access this way

# Maintaining Coherence

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- Need to guarantee that all processors see a consistent value (i.e., consistent updates) for the same memory location
- Writes to location A by P0 should be seen by P1 (eventually), and all writes to A should appear in some order
- Coherence needs to provide:
  - **Write propagation:** guarantee that updates will propagate
  - **Write serialization:** provide a consistent order seen by all processors for the same memory location
- Need a global point of serialization for this store ordering

# Hardware Cache Coherence

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- Basic idea:
  - A processor/cache broadcasts its write/update to a memory location to all other processors
  - Another cache that has the location either updates or invalidates its local copy
  
- Two major approaches
  - Snoopy bus (all operations are broadcast on a shared bus)
  - Directory based (a mediator gives permission to each request)
  
- To learn more, take the Graduate Comp Arch class
  - <https://safari.ethz.ch/architecture/fall2019/doku.php?id=schedule>

# Digital Design & Computer Arch.

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# Cache Examples: For You to Study

# Cache Terminology

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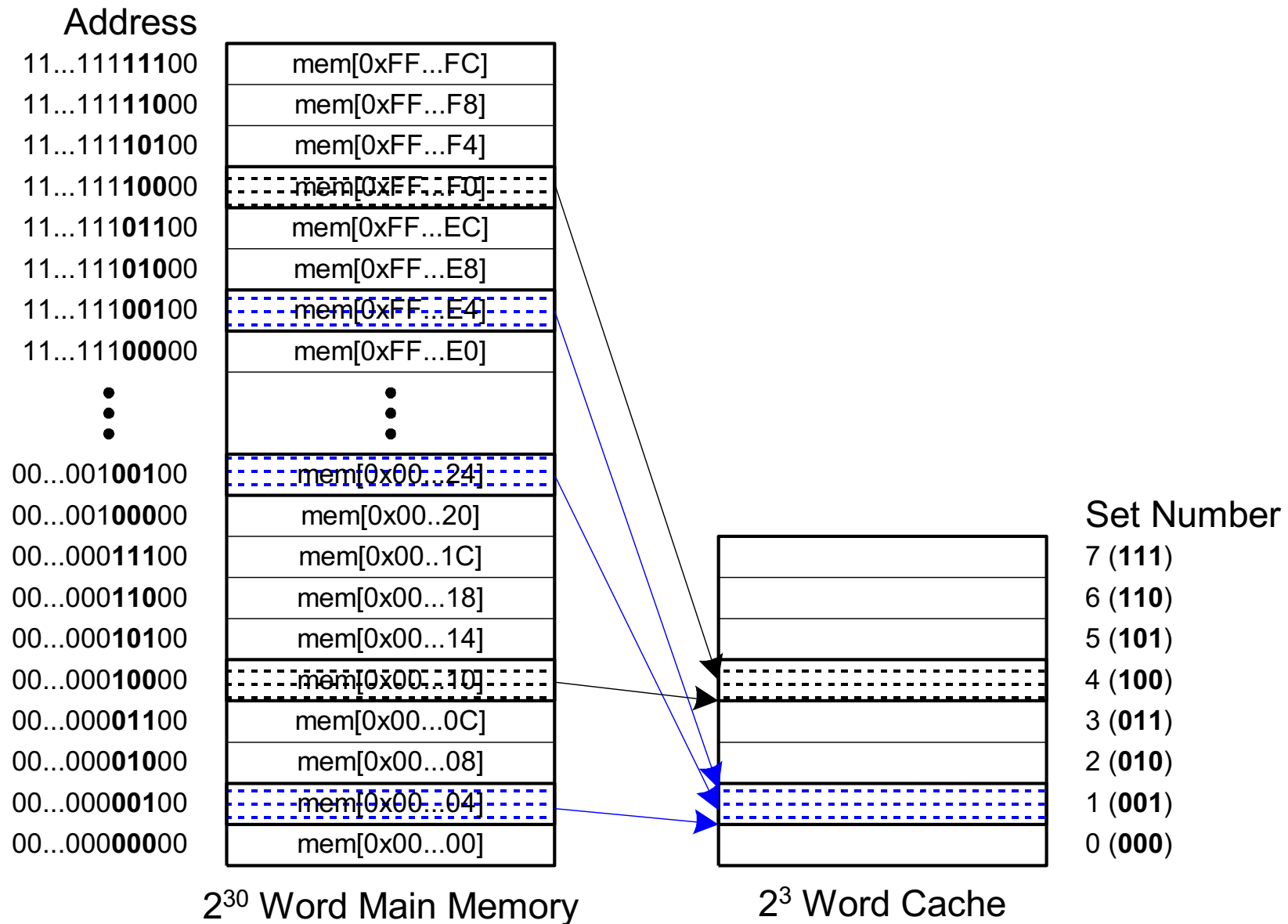
- Capacity ( $C$ ):
  - the number of data bytes a cache stores
- Block size ( $b$ ):
  - bytes of data brought into cache at once
- Number of blocks ( $B = C/b$ ):
  - number of blocks in cache:  $B = C/b$
- Degree of associativity ( $M$ ):
  - number of blocks in a set
- Number of sets ( $S = B/M$ ):
  - each memory address maps to exactly one cache set

# How is data found?

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- Cache organized into  $S$  sets
- Each memory address maps to exactly one set
- Caches categorized by number of blocks in a set:
  - Direct mapped: 1 block per set
  - N-way set associative:  $N$  blocks per set
  - Fully associative: all cache blocks are in a single set
- Examine each organization for a cache with:
  - Capacity ( $C = 8$  words)
  - Block size ( $b = 1$  word)
  - So, number of blocks ( $B = 8$ )

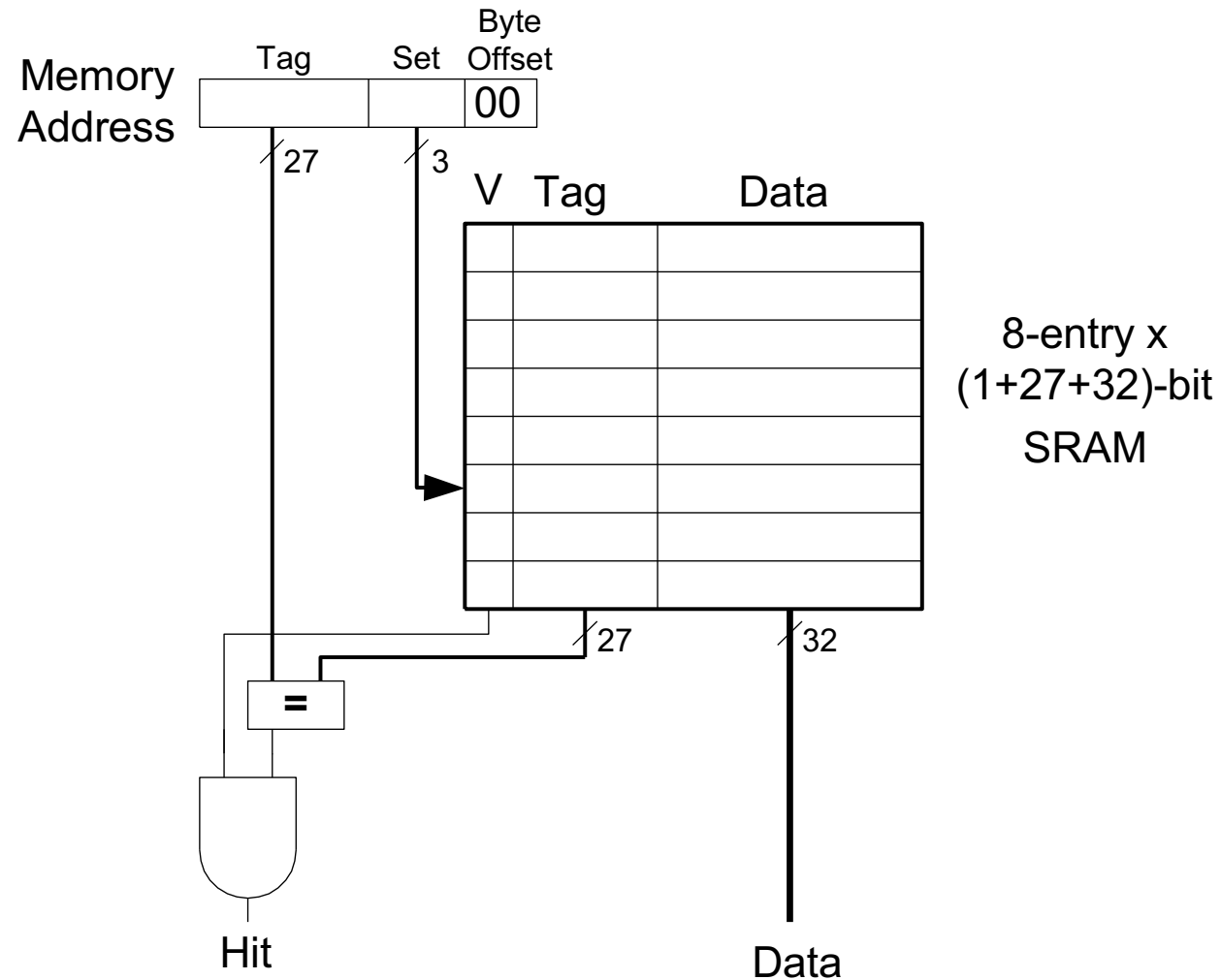
# Direct Mapped Cache



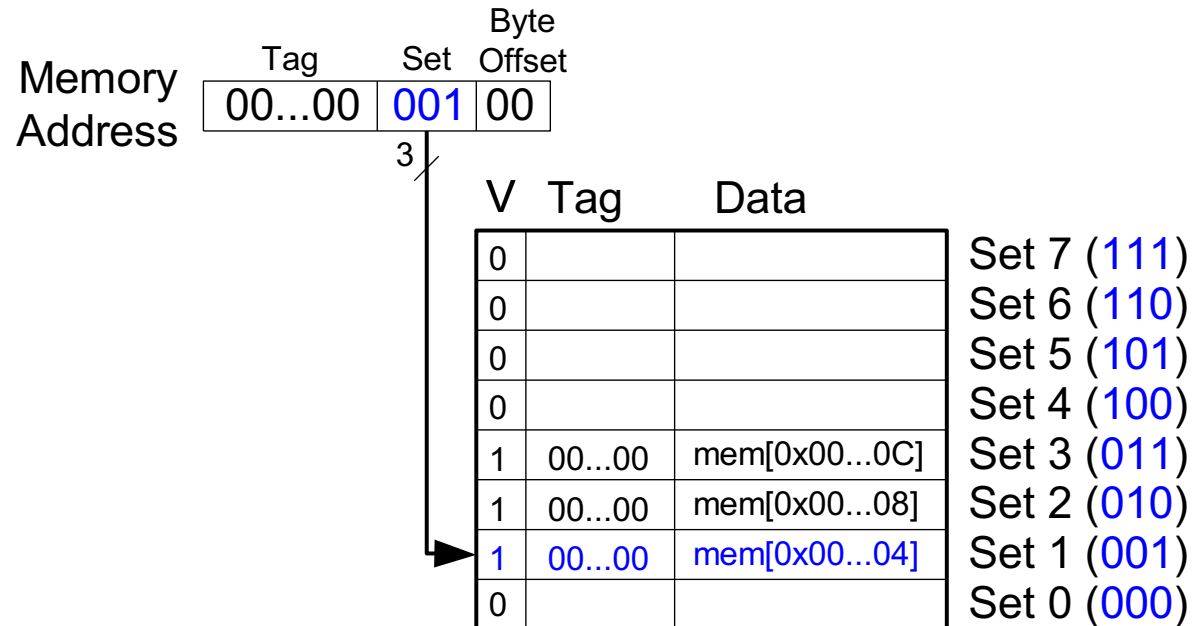


# Direct Mapped Cache Hardware

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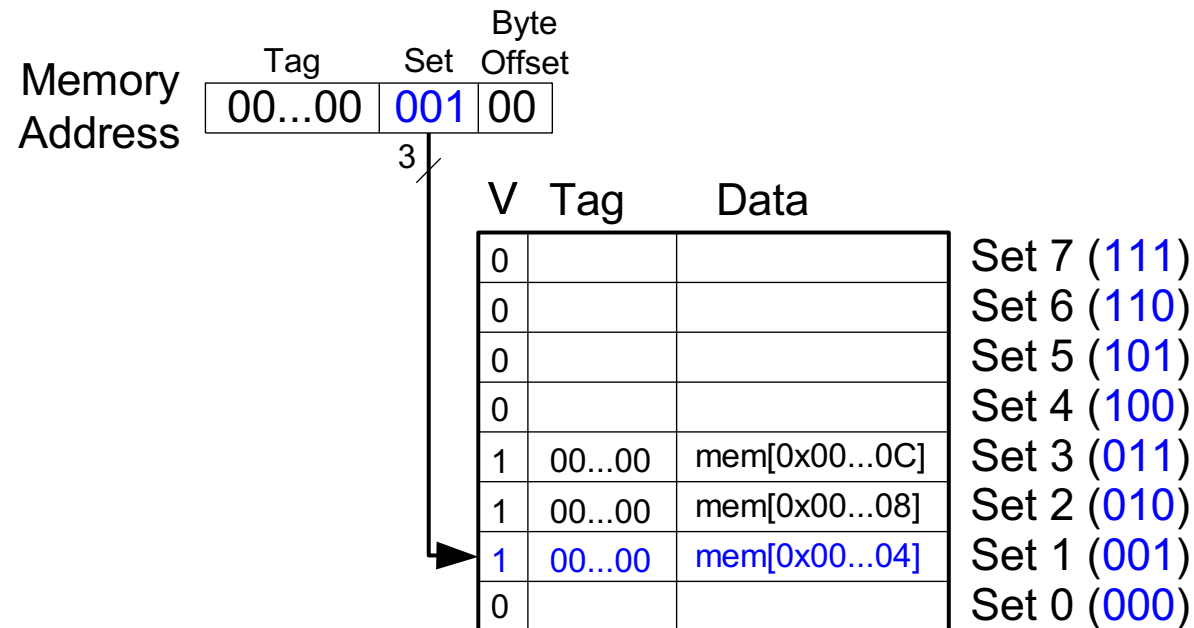
# Direct Mapped Cache Performance



```
# MIPS assembly code
      addi $t0, $0, 5
loop:  beq  $t0, $0, done
      lw   $t1, 0x4($0)
      lw   $t2, 0xC($0)
      lw   $t3, 0x8($0)
      addi $t0, $t0, -1
      j    loop
done:
```

*Miss Rate* =

# Direct Mapped Cache Performance



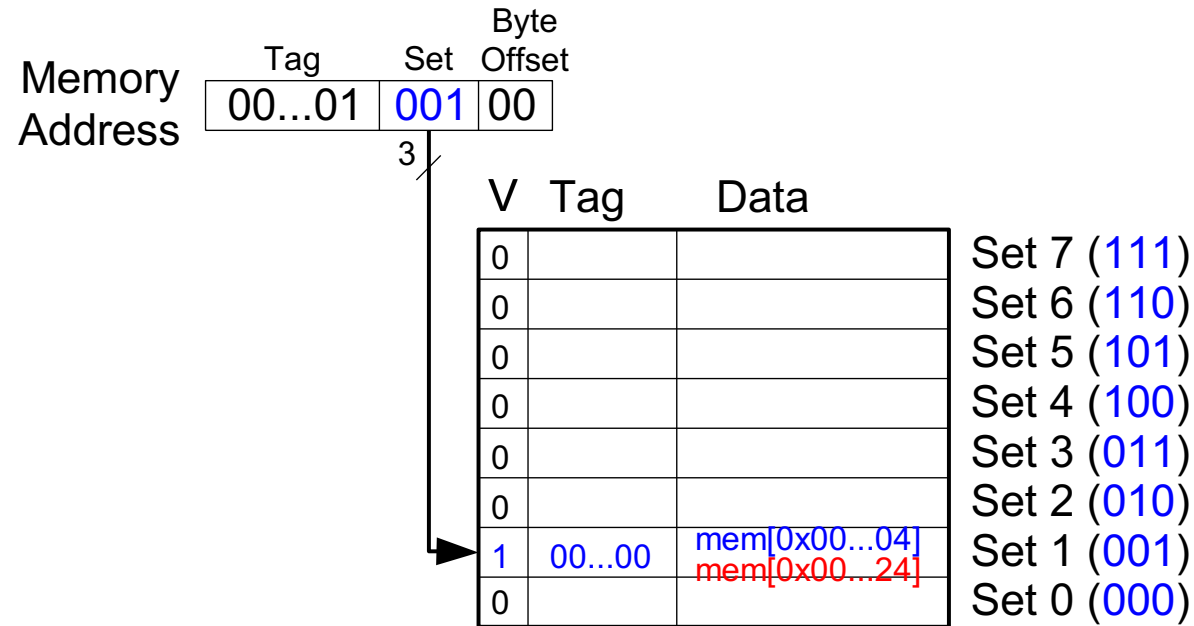
```
# MIPS assembly code
      addi $t0, $0, 5
loop:  beq  $t0, $0, done
      lw   $t1, 0x4($0)
      lw   $t2, 0xC($0)
      lw   $t3, 0x8($0)
      addi $t0, $t0, -1
      j    loop
done:
```

$$\text{Miss Rate} = 3/15 =$$

20%

Temporal Locality  
Compulsory Misses

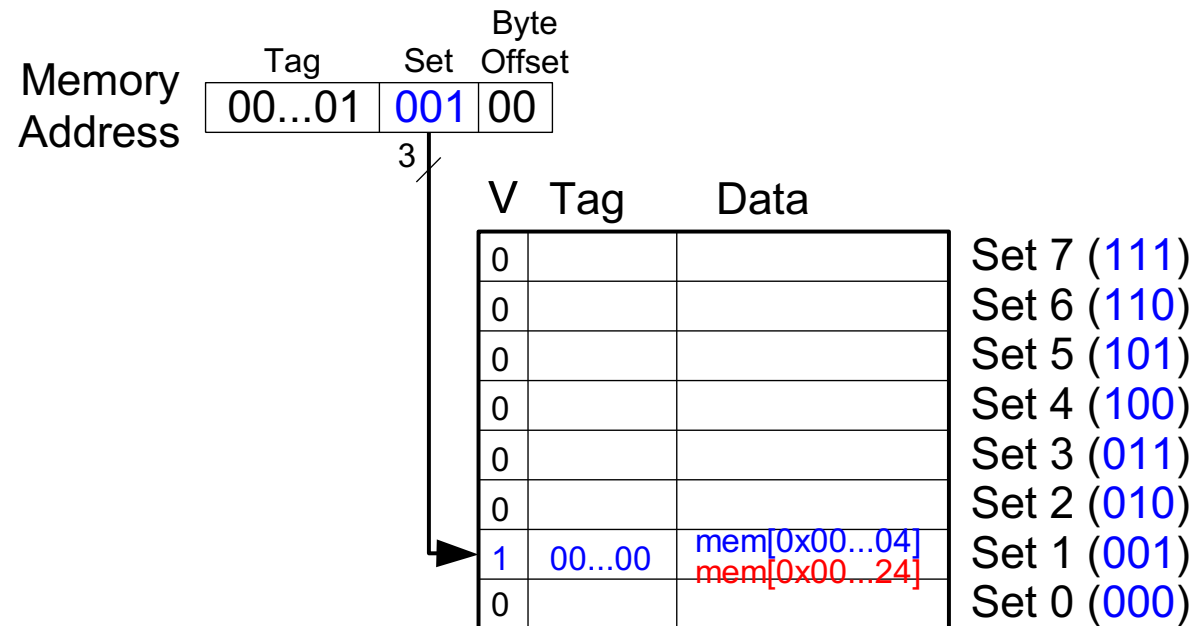
# Direct Mapped Cache: Conflict



```
# MIPS assembly code
      addi $t0, $0, 5
loop: beq  $t0, $0, done
      lw   $t1, 0x4($0)
      lw   $t2, 0x24($0)
      addi $t0, $t0, -1
      j    loop
done:
```

*Miss Rate* =

# Direct Mapped Cache: Conflict

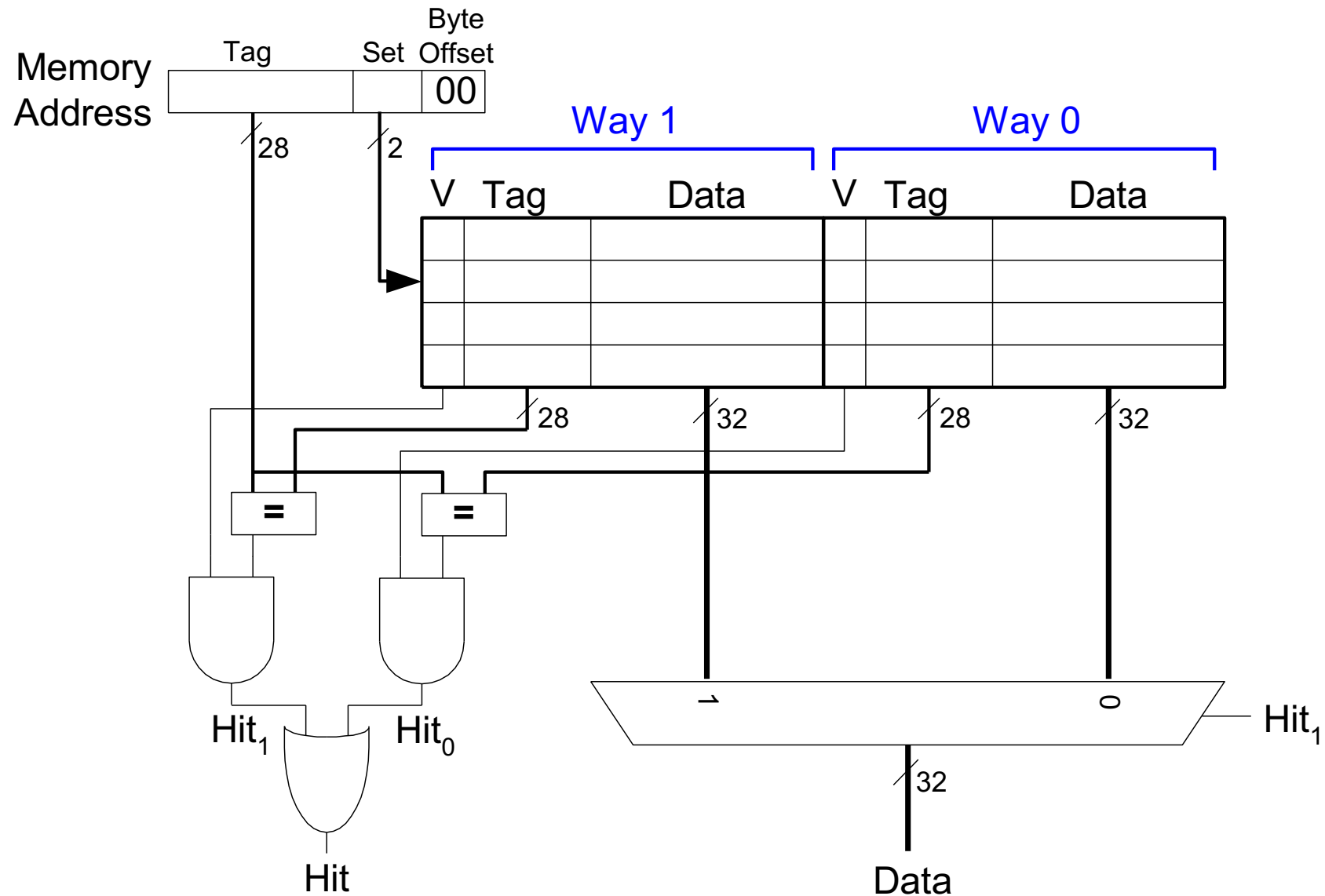


```
# MIPS assembly code
      addi $t0, $0, 5
loop:  beq  $t0, $0, done
      lw   $t1, 0x4($0)
      lw   $t2, 0x24($0)
      addi $t0, $t0, -1
      j    loop
done:
```

*Miss Rate = 10/10*  
*= 100%*

**Conflict Misses**

# N-Way Set Associative Cache



# N-way Set Associative Performance

# MIPS assembly code

```
    addi $t0, $0, 5
loop: beq  $t0, $0, done
      lw   $t1, 0x4($0)
      lw   $t2, 0x24($0)
      addi $t0, $t0, -1
      j    loop
done:
```

*Miss Rate =*

Way 1			Way 0			
V	Tag	Data	V	Tag	Data	
0			0			Set 3
0			0			Set 2
1	00...10	mem[0x00...24]	1	00...00	mem[0x00...04]	Set 1
0			0			Set 0

# N-way Set Associative Performance

# MIPS assembly code

```
    addi $t0, $0, 5
loop: beq  $t0, $0, done
      lw   $t1, 0x4($0)
      lw   $t2, 0x24($0)
      addi $t0, $t0, -1
      j    loop
done:
```

*Miss Rate = 2/10*

*= 20%*

Associativity reduces  
conflict misses

Way 1			Way 0			
V	Tag	Data	V	Tag	Data	
0			0			Set 3
0			0			Set 2
1	00...10	mem[0x00...24]	1	00...00	mem[0x00...04]	Set 1
0			0			Set 0



# Fully Associative Cache

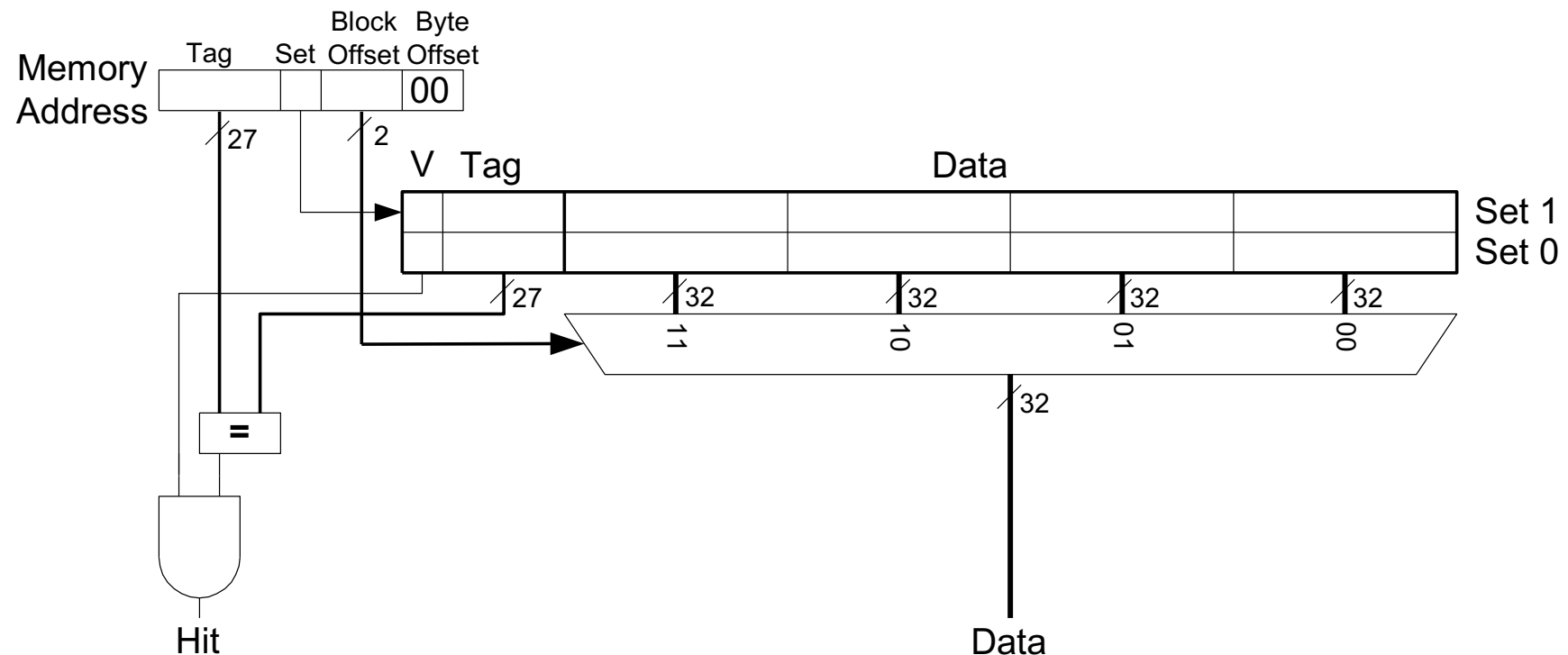
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- No conflict misses
- Expensive to build

V	Tag	Data	V	Tag	Data	V	Tag	Data	V	Tag	Data	V	Tag	Data	V	Tag	Data	V	Tag	Data

# Spatial Locality?

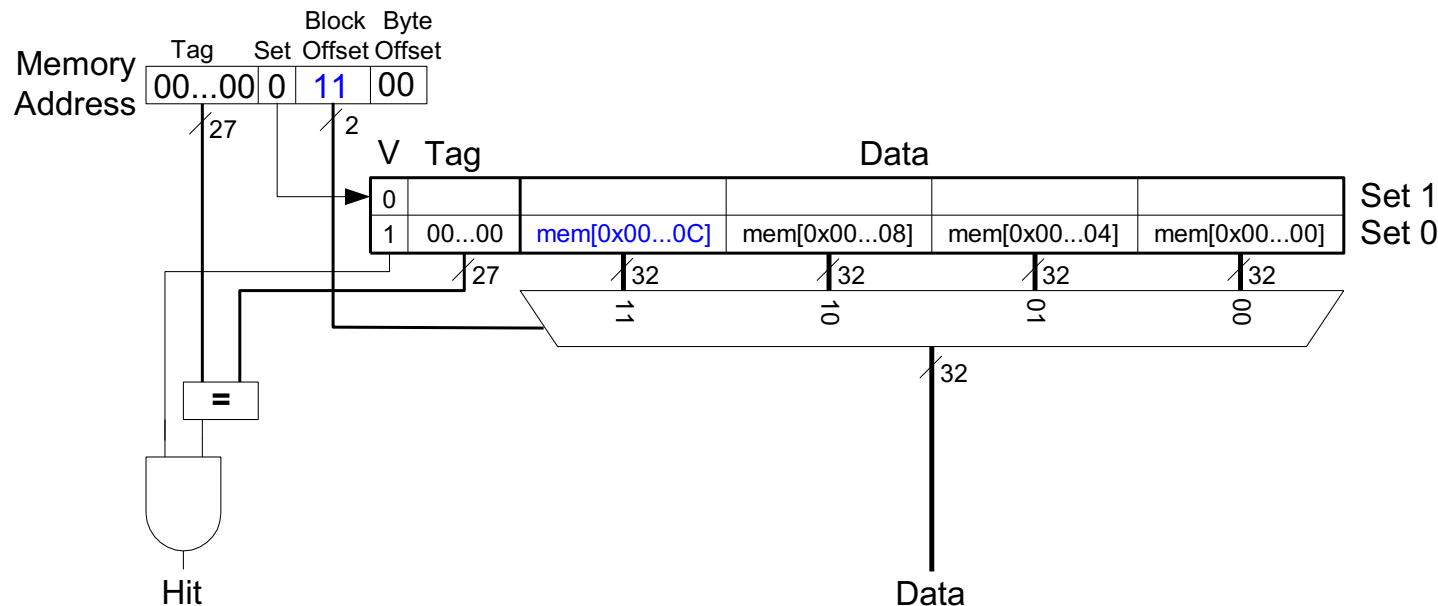
- Increase block size:
  - ❑ Block size,  $b = 4$  words
  - ❑  $C = 8$  words
  - ❑ Direct mapped (1 block per set)
  - ❑ Number of blocks,  $B = C/b = 8/4 = 2$



# Direct Mapped Cache Performance

```
      addi $t0, $0, 5
loop: beq  $t0, $0, done
      lw   $t1, 0x4($0)
      lw   $t2, 0xC($0)
      lw   $t3, 0x8($0)
      addi $t0, $t0, -1
      j    loop
done:
```

*Miss Rate =*

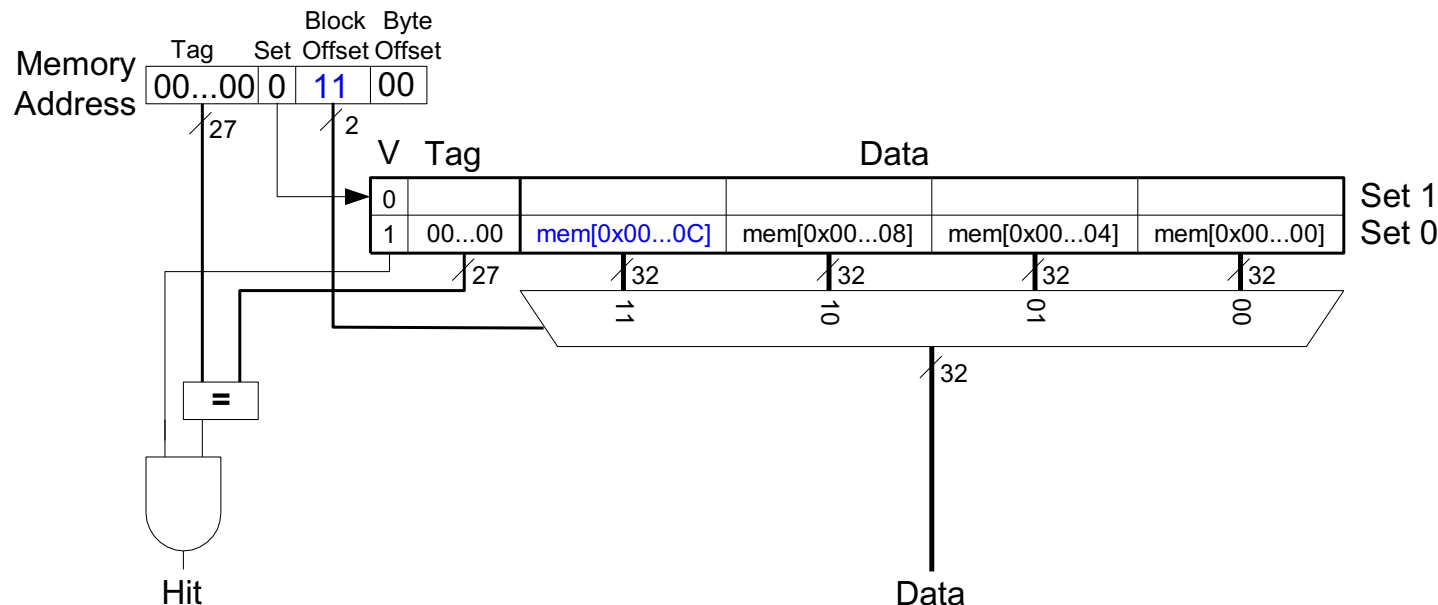


# Direct Mapped Cache Performance

```
loop:    addi $t0, $0, 5
        beq  $t0, $0, done
        lw   $t1, 0x4($0)
        lw   $t2, 0xC($0)
        lw   $t3, 0x8($0)
        addi $t0, $t0, -1
        j    loop
done:
```

*Miss Rate = 1/15*  
*= 6.67%*

Larger blocks reduce  
compulsory misses through  
spatial locality



# Cache Organization Recap

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## ■ Main Parameters

- ❑ Capacity:  $C$
- ❑ Block size:  $b$
- ❑ Number of blocks in cache:  $B = C/b$
- ❑ Number of blocks in a set:  $N$
- ❑ Number of Sets:  $S = B/N$

Organization	Number of Ways (N)	Number of Sets (S = B/N)
Direct Mapped	1	B
N-Way Set Associative	$1 < N < B$	$B / N$
Fully Associative	B	1

# Capacity Misses

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- Cache is too small to hold all data of interest at one time
  - If the cache is full and program tries to access data X that is not in cache, cache must evict data Y to make room for X
  - **Capacity miss** occurs if program then tries to access Y again
  - X will be placed in a particular set based on its address
- In a **direct mapped** cache, there is only one place to put X
- In an **associative cache**, there are multiple ways where X could go in the set.
- How to choose Y to minimize chance of needing it again?
  - Least recently used (LRU) replacement: the least recently used block in a set is evicted when the cache is full.

# Types of Misses

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- **Compulsory**: first time data is accessed
- **Capacity**: cache too small to hold all data of interest
- **Conflict**: data of interest maps to same location in cache
- **Miss penalty**: time it takes to retrieve a block from lower level of hierarchy

# LRU Replacement

# MIPS assembly

lw \$t0, 0x04(\$0)

lw \$t1, 0x24(\$0)

lw \$t2, 0x54(\$0)

(a)

V	U	Tag	Data	V	Tag	Data	Set Number
							3 (11)
							2 (10)
							1 (01)
							0 (00)

(b)

V	U	Tag	Data	V	Tag	Data	Set Number
							3 (11)
							2 (10)
							1 (01)
							0 (00)



# LRU Replacement

# MIPS assembly

```
lw $t0, 0x04($0)
lw $t1, 0x24($0)
lw $t2, 0x54($0)
```

(a)

Way 1				Way 0			
V	U	Tag	Data	V	Tag	Data	
0	0			0			Set 3 (11)
0	0			0			Set 2 (10)
1	0	00...010	mem[0x00...24]	1	00...000	mem[0x00...04]	Set 1 (01)
0	0			0			Set 0 (00)

(b)

Way 1				Way 0			
V	U	Tag	Data	V	Tag	Data	
0	0			0			Set 3 (11)
0	0			0			Set 2 (10)
1	1	00...010	mem[0x00...24]	1	00...101	mem[0x00...54]	Set 1 (01)
0	0			0			Set 0 (00)